## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant:

Hezar et al. Herewith

Filed: For:

ANALOG-TO-DIGITAL CONVERSION SYSTEM WITH SECOND ORDER

NOISE SHAPING AND A SINGLE AMPLIFIER

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

an earlier filing date under 35 U.S.C. 120:
previously cited or submitted to the Office in the following application(s) upon which this application relies for
1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those
directed to the patents, pending applications, publications and other information listed on the attached PTO-
1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is

Serial No.:	
Filing Date:_	

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

- 2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):
  - (a) \_\_\_ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
  - (b) \_\_\_\_ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
  - 3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
    - (a) X Within 3 months of the filing date or date of entry into the National Stage.
    - (b) \_\_\_\_ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 20-0668 for consideration of this Statement.
    - (c) \_\_\_\_ Before the mailing date of a first Office Action after the filing of a request for continued examination under 37 C.F.R. 1.114.

(d)	After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
(1)_	The required certification is given below, or
(2) _	Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
(3) _	_ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 20-0668
(e)	After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
(1)_	_ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or
(2) _	Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 20-0668.
4. Certifica	tion (if applicable)
(a)	The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
(b)	The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.
	nmissioner is hereby authorized to charge any additional fees or credit any overpayment to
Deposit Accou	int No. 20-0668.  Respectfully Submitted,
	ESCHWEILER & ASSOCIATES, LLC

Thomas G. Eschweiler Registration No. 36,981

National City Bank Building 629 Euclid Avenue, Suite 1210 Cleveland, Ohio 44114 (216) 502-0600

**CERTIFICATION UNDER 37 CFR 1.10** 

I hereby certify that this paper, and the documents referred to as attached or enclosed, are being deposited with the United States Postal Service on the date set forth below in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10, with the below indicated mailing label number, addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: October 31, 2003

Christine Gillroy
Christine Gillroy

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of

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Substitute for Form 1449A/PTO	Application Number	
INFORMATION DISCLOSURE	Filing Date	
STATEMENT BY APPLICANT	First Named Inventor	Hezar et al

Group Art Unit

**Examiner Name** 

Attorney Docket No.

U.S. PATENT DOCUMENTS							
		U.S. Patent Document		Name of Patentee or	Date of Pub. of Cited		
Exam. Initials*	Cite No.1	Number	Kind Code <sup>2</sup> (if known)	Applicant of Cited Doc.	Doc. (mm-dd-yyyy)	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	5,065,157		Ribner et al.	11/12/1991	Entire Document_	
	AB	5,103,229		Ribner	04/07/1992	Entire Document	
	AC	5,181,032		Ribner	01/19/1993	Entire Document	
	AD	5,305,004		Fattaruso	04/19/1994	Entire Document	
	AF	6,611,221	B1	Soundarapandian et al.	08/26/2003	Entire Document	

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FOREIGN PATENT DOCUMENTS								
l _		F	oreign Patent Doc	ument	Name of Patentee	Date of Pub.		
Exam. Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number⁴	Kind Code <sup>2</sup> (if known)	or Applicant of Cited Doc.	of Cited Doc. (mm-dd-yyyy)	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	ВА							
	ВВ							

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Exam. Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	"A Direct Digital Synthesizer with a tunable Error Feedback Structure", JOUKO JANKKA, IEEE Transactions on Communications, Vol. 45, No. 4, April, 1997, pp. 416-420.	
	СВ	"A Noise-Shaping Coder Topology for 15+ Bit Converters", L. RICHARD CARLEY, IEEE Journal of Solid-State Circuits, Vol. 24, No. 2, April, 1989, pp. 267-273.	
	СС	"Multibit Σ-Δ A/D Converter Incorporating A Novel Class of Dynamic Element Matching Techniques", BOSCO H. LEUNG and SCHAT SUTARJA, IEEE Transactions on Circuits and Systems, Analog and Digital Signal Processing, Vol. 39, No. 1, January, 1992, pp. 35-51.	
	CD	"A 1.5V 2.4/2.9mW 79/50dB DR Sigma Delta Modulator for GSM/WCDMA in a 0.13um Digital Process", G. GOMEZ and B. HAROUN, Solid State Circuits Conference, Digest of Technical Papers, Vol. 1, pp. 306-307, February 2002 San Francisco, CA, and Visuals Supplement, pp. 242, 243, 490.	
	CE	Institute of Electrical and Electronics Engineers, 2002 Digest of Technical Papers and Visuals Supplement 2002 to the Digest of Technical Papers, IEEE International Solid-State Circuits conference, Volume Forty-Five, ISSN 0193-6530, 13.3 "A Dual-Mode 80MHz Bandpass ΔΣ Modulator for a GSM/WCDMA IF-Receiver" T. SALO, T. HOLLMAN, S. LINGFORS and K. HALONEN, 7 pgs.	
	CF	"Behavioral Modeling of Seitched-Capacitor Sigma-Delta Modulators", PIERO MALCOVATI, SIMONA BRIGATI, FABRIZIO FRANCESCONI, FRANCO MALOBERTI, PAOLO CUSIANATO and ANDREA BASCHIROTTO, IEEE Transactions on Circuits and Systems; Fundamental Theory and Applications, Vol. 50, No. 3, Mach, 2003, pp. 352-364.	

Examiner	Date	
Signature	Considered	

US and Foreign Patent Documents: <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Other Prior Art/Non-Patent Literature Documents: <sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.